

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|   |  |
|---|--|
| Applicants: Yu-Chuan LIU;<br>Chia-Ming LEE;<br>I-Ling CHEN; and<br>Jenn-Inn CHYI          | I hereby certify that this correspondence<br>has been sent by U.S. Postal Service<br>First Class Mail, addressed to the<br>Commissioner of Patents<br>P.O. Box 1450, Alexandria, VA 22313-1450.<br>on Thursday, November 3, 2006 |
| Title: Light-Emitting Device and<br>Manufacturing Process of the<br>Light-Emitting Device |  |
| Serial No: 10/814,872   |  |
| Filing Date: 31 MAR 2004  | <i>Susan Vanderwalker 03 NOV 2005</i><br>Susan Vanderwalker  |

**COMMUNICATION REGARDING SUBMISSION  
OF INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner of Patents  
Alexandria, VA 22313-1450

Dear Commissioner:

In accordance with 37 C.F.R. §1.56, the references listed on the attached form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. A copy of each reference is enclosed.

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO-1449 with initials or other appropriate marks.

Applicant is a Small Entity. In accordance with 37 C.F.R. 1.17(p), you are hereby authorized to deduct from our Deposit Account (No. 02-0400 – Baker & McKenzie) the required amount of \$180.00. **When identifying such a withdrawal, please use our Attorney Docket No. TEK-002006**

Thursday, October 27, 2005

Respectfully submitted,

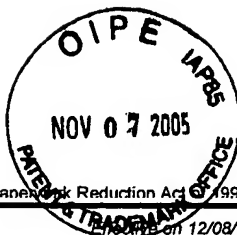
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| BAKER & MCKENZIE LLP<br>130 E. Randolph Drive<br>Chicago, IL 60601<br>ph: 312-861-8608<br>fax: 312-698-2363<br>e-mail: david.i.roche@bakernet.com | <i>David I. Roche</i><br>David I. Roche<br>Reg. No. 30,797 |
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PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Expires on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130.00

**Complete if Known**

|                      |                     |
|----------------------|---------------------|
| Application Number   | 10/814,872          |
| Filing Date          | 31 MARCH 2004       |
| First Named Inventor | Yu-Chuan LIU et al. |
| Examiner Name        |                     |
| Art Unit             | 2811                |
| Attorney Docket No.  | TEK-002006          |

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 02-0400 Deposit Account Name: Baker & McKenzie

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

| Fee (\$) | Small Entity Fee (\$) |
|----------|-----------------------|
| 50       | 25                    |

Each independent claim over 3 (including Reissues)

|     |     |
|-----|-----|
| 200 | 100 |
|-----|-----|

Multiple dependent claims

|     |     |
|-----|-----|
| 360 | 180 |
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| Total Claims | Extra Claims | Fee (\$) | Fee Paid (\$) |
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| - 20 or HP = | x | = |  |
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HP = highest number of total claims paid for, if greater than 20.

| Indep. Claims | Extra Claims | Fee (\$) | Fee Paid (\$) |
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| - 3 or HP = | x | = |  |
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HP = highest number of independent claims paid for, if greater than 3.

**Multiple Dependent Claims**

| Fee (\$) | Fee Paid (\$) |
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**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|--------------|--------------|--|----------|---------------|
| - 100 =      | / 50 =       | (round up to a whole number) x                   | =        |               |

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

**Fees Paid (\$)**Other (e.g., late filing surcharge): INFORMATION DISCLOSURE STATEMENT130.00**SUBMITTED BY**

|                   |                       |   |                         |
|-------------------|-----------------------|---|-------------------------|
| Signature         | <u>David I. Roche</u> | Registration No.<br>(Attorney/Agent) 30,797 | Telephone 312-861-8608* |
| Name (Print/Type) | David I. ROCHE        |   | Date 03 NOV 2005        |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



FORM PTO-1449

(Rev. 8-83)

**INFORMATION DISCLOSURE  
CITATION****ATTORNEY DOCKET NO.**

TEK-002006-US

**SERIAL NO.**

10/814,872

**APPLICANTS:**

Yu-Chan LIU et al.

**FILING DATE:**

31 MARCH 2004

**GROUP:**

2811

**U.S. PATENT DOCUMENTS**

| EXAMINER'S<br>INITIAL* | DOCUMENT<br>NUMBER | ISSUE<br>DATE | INVENTOR'S<br>NAME  | CLASS | SUB<br>CLASS |
|------------------------|--------------------|---------------|---------------------|-------|--------------|
|                        | 6,696,703          | 2/24/2004     | Mueller-Mach et al. | 257   | 98           |
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**FOREIGN PATENT DOCUMENTS**

| EXAMINER'S<br>INITIAL* | DOCUMENT<br>NUMBER | ISSUE<br>DATE | INVENTOR'S<br>NAME | INT'L<br>CLASS |
|------------------------|--------------------|---------------|--------------------|----------------|
|                        | JP 508,848         |               | YAGI et al.        |                |
|                        | JP 546,859         |               |                    |                |
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**EXAMINER****DATE CONSIDERED**

\* EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include a copy of this form with next communication to Applicant.